



GenworthSM Financial

BuyDIRECTSM Stock Purchase And Dividend Investment Plan
New Enrollment Form
c/o The Bank of New York, P.O. Box 1958, Newark, NJ 07101-7924
1-800-524-4458

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT
To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

1 Account Ownership

Complete one section below.
Please use a pen and print clearly in CAPITAL LETTERS.

Individual or Joint Account

Owner's Name (first, middle initial, last):

Grid for Owner's Name

Owner's Social Security Number (used for tax reporting):

Grid for Owner's Social Security Number

Joint Owner's Name, if applicable (first, middle initial, last):

Grid for Joint Owner's Name

Gifts/Transfers to a Minor (UGMA/UTMA)

Custodian's Name, one name only (first, middle initial, last):

Grid for Custodian's Name

as custodian for Minor's Name (first, middle initial, last):

Grid for Minor's Name

Under the [] [] Uniform Gifts/Transfers to Minors Act.

(State)

Minor's Social Security Number:

Grid for Minor's Social Security Number

Trust

Trustee's Name (first, middle initial, last):

Grid for Trustee's Name

and Co-Trustee's Name, if applicable (first, middle initial, last):

Grid for Co-Trustee's Name

as trustees of (Name of Trust):

Grid for Name of Trust

for the benefit of:

Grid for Beneficiary Name

Trust's Taxpayer Identification Number:

Grid for Trust's Taxpayer Identification Number

Date of Trust (month, day, year)

Grid for Date of Trust

Individual/Joint: Joint accounts will be presumed to be joint tenants with rights of survivorship unless restricted by applicable state law or otherwise indicated. Only one social security number is required.
Gifts/Transfers to a minor UGMA/UTMA: A minor is the beneficial owner of the account with an adult as custodian. The custodian manages the account until the minor becomes of age, as specified in the uniform gifts/transfers to minors act in the minor's state of residence.
Trust: An account established in accordance with the provisions of a trust agreement.

2 Date of Birth

Grid for Date of Birth

3 Address & Citizenship

Street Address and Apartment or Box Number

Grid for Street Address

City:

Grid for City

State:

Grid for State

Zip code:

Grid for Zip code

Citizenship of Owner, Minor or Trust Beneficiary:

[] U.S. Citizen [] Resident Alien [] Non-Resident Alien:

Country of Citizenship

Grid for Country of Citizenship

4 Investment Information

Please make your check payable to The Bank of New York. Note that The Bank of New York cannot accept foreign checks. Checks must be drawn on a U.S. Bank and payable in U.S. dollars.

Please choose only one option:

[] One-time purchase (first-time investor) or optional cash investment (existing registered holder)
The initial investment (for non-registered first time investors) is \$200.00. Thereafter, subsequent payments may be a minimum \$50.00. Maximum total annual investment is \$120,000.00. Enter investment amount.

Grid for One-time purchase investment amount

[] Electronic Funds Transfer (EFT)

The initial investment (for non-registered first time investors) is \$200.00 and must be made by check (1). Thereafter, subsequent payments may be a minimum \$50.00 as indicated below (2) and will be automatically withdrawn from your checking or savings account on the 25th day each month. Maximum total annual investment is \$120,000.00. Enter two amounts:

Grids for EFT investment amounts (1) first-time investment and (2) monthly deduction

Electronic Funds Transfer (EFT) information:

Bank Routing (ABA) Number:

Grid for Bank Routing (ABA) Number

Account Number:

Grid for Account Number

[] Checking Account or [] Savings Account (Please attach voided check or deposit slip to this application)

5 Signature

Each owner must read and sign this section.

By signing this application, I certify that:

- I have received and read the prospectus for the plan in which I am investing, and I agree to the terms and conditions of the prospectus. I have the authority and legal capacity to purchase shares, am of legal age and believe each investment is suitable for me. I understand that The Bank of New York utilizes a broker affiliated with BNY for all trading activity relative to the plan on behalf of plan participants.
I ratify any instructions, given on this account. I agree that neither Genworth Financial, Inc. nor The Bank of New York will be liable for any loss, cost or expense for acting upon any instructions if it follows reasonable procedures designed to prevent unauthorized transactions.
I understand that for joint tenants accounts "I" refers to all account owners, and each of the account owners agrees that any account owner has authority to act on the account without notice to the other account owners. The Bank of New York in its sole discretion, and for its protection, may require the written consent of all account owners prior to acting upon the instructions of any account owner.

Please make sure that all owners sign the application as required.

Signature of Owner

Date (month, day, year)

Grid for Owner's Signature

Signature of Joint Owner

Date (month, day, year)

Grid for Joint Owner's Signature

Telephone number:

Grid for Telephone number

6 Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.
I am a U.S. person (including a U.S. resident alien)

Certification Instructions - You must cross out certification item #2 above if you have been notified by the IRS that you are currently subject to backup withholding because of underreporting interest or dividends on your tax return.

If I am a Non-Resident Alien, as I've indicated above, I certify under penalties of perjury that I am not a U.S. Citizen or Resident Alien, and that I am an "exempt foreign person" as defined under IRS regulations.

Please make sure that all owners sign the application as required.

Signature of Owner

Date (month, day, year)

Grid for Owner's Signature

Signature of Joint Owner

Date (month, day, year)

Grid for Joint Owner's Signature

7 Dividend Reinvestment Enrollment Election (check one)

- Reinvest all net dividends on all shares.
Reinvest all net dividends on _____ shares.
Pay cash dividends on all shares.

Please make sure that all owners sign the application as required.

Signature of Owner

Date (month, day, year)

Grid for Owner's Signature

Signature of Joint Owner

Date (month, day, year)

Grid for Joint Owner's Signature